

NTPC Limited
(A Government of India Enterprise)



**Enlistment (Pre-Qualification) of Contractors,
For
Construction of Geopolymer Concrete Road at NTPC
Projects/Stations**

DOCUMENT NO.: CS-0011-379C-9

ENLISTMENT (PRE-QUALIFICATION) OF CONTRACTORS, FOR CONSTRUCTION OF GEOPOLYMER CONCRETE ROAD AT NTPC PROJECTS/STATIONS

Enlistment Group.: CCG-03 (Category-1) and CCG-04 (Category-2)

1.0 NTPC Limited invites online applications from eligible domestic Agencies for the above mentioned Enlistment. The tentative brief Scope of work is as follows:

1.1 General information :

A) **Location:** This enlistment of agencies is for construction of Geo-polymer Concrete road at NTPC Projects/Stations which are located across India. Applicant may refer the NTPC website for locations of various projects/Station.

B) **Ingredients:** Geo-Polymer Concrete is a special type of concrete where no cement is used unlike conventional cement concrete.

Major ingredients of Geo-polymer concrete are as below:

- a) Fly Ash (to be collected from location within NTPC plants wherever available and grounded to required fineness, if required)
- b) Ground Granulated Blast Furnace slag
- c) Aggregates (Coarse and fine)
- d) Sodium Silicate
- e) Sodium Hydroxide
- f) Chemical admixtures like super-plasticiser, retarder, shrink-reducing compound etc.

Fly ash produced by coal-based power stations of NTPC, if available, will be issued free of cost for the production of Geo-polymer concrete.

1.2 Additional Information on Geo-Polymer Concrete:

A) Batching & Mixing:

The solid constituents of geo-polymer concrete mix such as coarse aggregate, fine aggregate, fly ash and slag are to be mixed dry for 2-3 minutes, then Geo-activator solution, consisting of sodium silicate and sodium hydroxide pre-mixed in tanks at site, is added to the dry mix in batching plant mixer. The whole mixture is mixed until a homogeneous cohesive mix is obtained. Pumping devices shall be used for transferring activator solution from tank to the mixer. Proportion of different ingredients and mixing process are to be finalized/established during mix design finalization and trial mix at site.

The concrete batching plant shall have to be modified for handling/feeding/dosing/weighing etc of ingredients and production of Geo-Polymer Concrete of suitable grade.

B) Geo-activator:

This solution shall be prepared using Sodium Hydroxide (NaOH)& Sodium silicate(Na_2SiO_3) with water in a certain ratio. The ratio of Sodium Silicate and Sodium Hydroxide in activator solution shall be decided during finalization of Design mix. Separate tanks having adequate capacity are to be constructed close to batching plant with fencing and a lockable gate for preparation of Sodium Hydroxide and Sodium Silicate solution. These tanks shall be provided with acid-alkali resistant lining and covered with GI sheet. Each tank shall be fitted with a chemical resistant pump of suitable capacity and dual valve in the discharge line for recirculation (to enable mixing) and also for transferring the Geo-Activator solution to mixer. This connection pipe from Pump discharge to batching plant mixer shall be HDPE of suitable Diameter.

Preparation of Geo-activator solution is a critical process and extra care needs to be taken during the preparation in respect of safety of personnel handling the chemicals.

1.3 Scope of Work:

Geo-polymer concrete road shall generally be constructed over soil sub-grade/embankment. However, in some projects/stations Geo-polymer concrete pavement may have to be constructed over existing damaged bitumen surface also.

The construction of Geo-polymer Concrete road works shall comprise of

- i. Sampling of material from source of supply and testing, getting mix design done for Geo-polymer concrete for Pavement Quality Concrete (PQC) and Dry Lean Concrete (DLC) from NTPC approved reputed institutes.

- ii. Installation of batching plant for production of Geo-polymer concrete including modification required for dosing of ingredients and production of suitable grade of Geo-polymer concrete.
- iii. Trial mix for DLC and PQC.
- iv. Earthwork (Cutting and /or filling)
- v. Construction of Sub-grade
- vi. Construction of Granular Sub-Base (GSB)
- vii. Construction of Dry Lean geo-polymer Concrete (DLC) Sub-base
- viii. Construction of Pavement quality Geo-polymer concrete (PQC)
- ix. Fabrication and Installation of dowels/tie bars as per design,
- x. Groove cutting for joints,
- xi. Application of sealants,
- xii. Quality control test for DLC and PQC.

And any other works associated with construction of road as per standard technical specifications laid down in MORT&H /CPWD/IRC/R&B(of any State/Union Territories).

Scope shall include all labour, staff, materials, consumables, equipment, temporary works, temporary storage sheds, temporary facilities, constructional equipment/plants, fuel supply, transportation and all incidental items not specified but reasonably implied or necessary for the completion and proper functioning of the road.

The above scope of work is indicative only and exact scope would be project specific.

The brief scope of work for Construction of Geopolymer Concrete Road at NTPC Projects/Stations is placed at **Annexure-II** to Enlistment Document.

- 1.4 Applicants have to apply for the Category(ies) for which they want to get Enlisted for Construction of Geopolymer Concrete Road Package in Schedule-A3 of the Enlistment Documents and shall be evaluated only for those Category(ies) for which they have applied.**

Qualifying Requirements for Enlistment (Pre-qualification) of Contractors, for Construction of Geopolymer Concrete Road at NTPC Projects/Stations

Applicant who wishes to participate in the enlistment shall satisfactorily establish that it fulfills the qualifying requirements stipulated hereunder for two categories of works namely Category-1 and Category-2:

1. Technical Criteria:

1.1. For Category-1

The Applicant should have executed works of concrete roads using Pavement Quality Concrete (PQC) as per standard technical specification laid down in IRC/MORTH/CPWD/R&B (of any State/Union Territories) as per the following criteria within the preceding seven (07) years reckoned as on last date notified for receipt of application.

- i) Single (01) order, with executed quantity of Pavement Quality Concrete (PQC) not less than 2300 Cu.M.

OR

- ii) Two (02) orders, with executed quantity of Pavement Quality Concrete (PQC) not less than 1400 Cu.M. in each work

OR

- iii) Three (03) orders with executed quantity of Pavement Quality Concrete not less than 1150 Cu.M. in each work.

1.2. For Category-2

The Applicant should have executed works of concrete roads using Pavement Quality Concrete (PQC) as per standard technical specification laid down in IRC/MORTH/CPWD/R&B (of any State/Union Territories) as per the following criteria within the preceding seven (07) years reckoned as on last date notified for receipt of application.

- i) Single (01) order, with executed quantity of Pavement Quality Concrete (PQC) not less than 5700 Cu.M.

OR

- ii) Two (02) orders, with executed quantity of Pavement Quality Concrete (PQC) not less than 3550 Cu.M. in each work

OR

- iii) Three (03) orders with executed quantity of Pavement Quality Concrete (PQC) not less than 2850 Cu.M. in each work.

Notes: The following notes (a to c) explain in detail the intention of various terms in qualifying requirements:

- a) In Clause 1.1 and 1.2 above, the word "executed" means the Applicant should have achieved the criteria specified in the above eligibility criteria within the preceding seven years period reckoned as on last date notified for receipt of application even if the contract has been started earlier and/or is not completed/closed.
- b) Abbreviated forms specified at Clause 1.1 and 1.2 shall mean as follows:
- | | |
|-------|---|
| IRC | - Indian Road Congress |
| MORTH | - Ministry of Road Transport and Highways, Govt. of India |
| CPWD | - Central Public Works Department, Govt. of India |
| R&B | - Road and Bridges |
- c) Vendor enlisted under Category-1 shall be eligible for execution of Geopolymer concrete roads having Pavement Quality Concrete (PQC) quantity up to 2850 Cu.M.

Vendor enlisted under Category-2 shall be eligible for execution of Geopolymer concrete roads having Pavement Quality Concrete (PQC) quantity up to 7150 Cu.M.

2. Financial Criteria:

- a) The average annual turnover of the Applicant in the preceding three (3) financial years as on the last date notified for receipt of application, should not be less than **₹ 475 Lakh** (Indian Rupees Four hundred Seventy five Lakh only) for Category-1 and **₹ 1176 Lakh** (Indian Rupees One thousand one hundred seventy six Lakh only) for Category-2 , respectively.

In case a Applicant does not satisfy the annual turnover criteria, stipulated above on its own, its Holding Company would be required to meet the stipulated turnover requirements as above, provided that the Net Worth of such Holding Company as on the last day of the preceding financial year is at least equal to or more than the paid-up share capital of the Holding Company. In such an event, the Applicant would be required to furnish along with its Application, a Letter of Undertaking from the Holding Company, supported by the Holding Company's Board Resolution, as per the format enclosed in the enlistment documents, pledging unconditional and irrevocable financial support for the execution of the Contract by the Applicant in case of award against project specific enquiry after enlistment.

- b) Net worth of the applicant as on last day of the preceding financial year shall not be less than 100% of the paid-up share capital.

In case the Applicant does not meet the Net worth criteria on its own, it can meet the requirement of Net worth based on the strength of its Subsidiary (ies) and/or Holding Company and/or Subsidiaries of its Holding company wherever applicable. In such case the Net worth of the Applicant and its subsidiary (ies) and/or Holding Company and/or subsidiary (ies) of the Holding company, in combined manner should not be less than 100% (hundred percent) of their total paid-up share capital. However individually, their Net worth should not be less than 75% (seventy five percent) of their respective paid-up share capitals.

Net worth in combined manner shall be calculated as follows:

$$\text{Net worth (combined)} = (X1+X2+X3) / (Y1+Y2+Y3) \times 100$$

where X1, X2, X3 are individual Net worth which should not be less than 75% of the respective paid up share capitals and Y1, Y2, Y3 are individual respective paid up share capitals.

- c) In case the Applicant is not able to furnish its audited financial statements on stand-alone entity basis, the unaudited unconsolidated financial statements of the Applicant can be considered acceptable provided the Applicant further furnishes the following documents for substantiation of its qualification.
- I) Copies of the unaudited unconsolidated financial statements of the Applicant along with copies of the audited consolidated financial statements of its Holding Company.
 - II) A Certificate from the CEO/CFO of the Holding Company, as per the format enclosed in the enlistment document, stating that the unaudited unconsolidated financial statements form part of the Consolidated Annual Financial Statements of the company.

In cases where audited results for the last financial year are not available, the financial results certified by a practicing Chartered Accountant shall be considered acceptable. In case, Applicant is not able to submit the Certificate from a practicing Chartered Accountant certifying its financial parameters, the audited results of three consecutive financial years preceding the last financial year shall be considered for evaluating the financial parameters. Further, a Certificate would be required from the CEO/CFO as per the format enclosed in the enlistment documents stating that the financial results of the Company are under audit as on the date of application bid opening and the Certificate from the practising Chartered Accountant certifying the financial parameters is not available.

Notes for Clause 2.0 above:

- i) Net worth means the sum total of the paid up share capital and free reserves. Free reserves means all reserves credited out of the profits and share premium account but does not include reserves credited out of the revaluation of the assets, write back of depreciation provision and amalgamation. Further any debit balance of Profit and Loss account and miscellaneous expenses to the extent not adjusted or written off, if any, shall be reduced from reserves and surplus.
- ii) Other income shall not be considered for arriving at annual turnover.
- iii) "Holding Company" and "Subsidiary Company" shall have the meaning ascribed to them as per Companies Act of India.
- iv) The enlisted party shall have to re-confirm the criteria as stated at Cl. 3.2.0 above at the time of submission of bid for a specific project through a "Certificate signed by CEO/CFO", stating that the "Average annual Turnover" of the bidder in the immediately preceding three (03) financial years as on the date of bid

opening for project specific enquiry, the “Net Worth” as on last day of the preceding financial year as on the date of bid opening for project specific enquiry.

3. Applicable for both Category-1 & Category-2

Notwithstanding anything stated above, the Employer reserves the right to assess the capabilities and capacity of the Applicant /subcontractor/ group companies to perform the contract, should the circumstances warrant such assessment in the overall interest of the Employer.

Annexure*

*Note : Annexures are enclosed here for reference , the formats are provided in the online application form and need to be accordingly submitted in the application form.

Except Power of Attorney and Letter of Undertaking, no offline documents are to be submitted by Applicants.

Technical Information

SCHEDULE-1
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NTPC Projects/Stations**

**(DETAILS OF CONCRETE ROADS USING PAVEMENT QUALITY CONCRETE (PQC)
EXECUTED FOR MEETING THE REQUIREMENT OF CLAUSE 1.1 OF QUALIFYING
REQUIREMENTS)**

**(For Applicants applying for enlistment under Category 1 of Qualifying
Requirements)**

In support of meeting the qualifying requirements stipulated at para 1.1 of qualifying requirements stipulated at Annexure- I of Enlistment documents, we hereby confirm that we have executed following works of concrete roads using Pavement Quality Concrete (PQC) as per standard technical specification laid down in IRC/MORTH/CPWD/R&B (of any State/Union Territories) in

- i) Single (01) order, with executed quantity of Pavement Quality Concrete (PQC) not less than 2300 Cu.M.

OR

- ii) Two (02) orders, with executed quantity of Pavement Quality Concrete (PQC) not less than 1400 Cu.M. in each work

OR

- iii) Three (03) orders with executed quantity of Pavement Quality Concrete not less than 1150 Cu.M. in each work.

during the **preceding Seven (07) years prior to the date of submission of application for enlistment.**

(We have enclosed copy of Work order/LOA and Certificate from Owners / Clients in support of Works executed)

Description		Reference Work-1	Reference Work-2	Reference Work-3
Name of contract				
Name and Address of Client				
Ref. No. and date of Award of Work				
Scope of Work				
Date of completion of works	As per Contract			
	Actual			
Executed quantity of Pavement Quality Concrete (PQC) in works of concrete roads as per standard technical specification laid down in IRC/MORTH/CPWD/R&B (of any State/Union Territories)				
Remarks				

SCHEDULE-1

We further confirm that notwithstanding anything stated above, the Employer reserves the right to undertake a physical assessment of the capacity and capabilities including financial capacity and capability of the Bidder / his Collaborator(s) / Associate(s) / Subsidiary(ies) / Group Company(ies) to perform the Contract, should the circumstances warrant such assessment in the overall interest of the Employer.

- Note:
- (1) Continuation Sheets of like size and format may be used as per Bidder Requirement and shall be annexed to this attachment.
 - (2) The reference works whose details have been declared as per the specified format in this Schedule A4 above shall only be considered to ascertain the bidder's compliance to Qualifying Requirement (QR). **Bidders are not permitted to quote more than three (03) times the numbers of works specified in Eligibility Criteria for this purpose.**

The Employer at its discretion may seek clarification and / or documentary evidence only for the reference plants / installations as mentioned above. However, **no change or substitution of the reference works by new / additional work for conforming to the specified Eligibility Criteria shall be sought, offered or permitted.**
 - (3) Bidder to ensure that certificate issued by the client covers / includes all parameters of QR. Further, the Bidder is required to submit the copy of last paid bill.

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**(DETAILS OF CONCRETE ROADS USING PAVEMENT QUALITY CONCRETE (PQC)
EXECUTED FOR MEETING THE REQUIREMENT OF CLAUSE 1.2 OF QUALIFYING
REQUIREMENTS)**

**(For Applicants applying for enlistment under Category 2 of Qualifying
Requirements)**

In support of meeting the qualifying requirements stipulated at para 1.2 of qualifying requirements stipulated at Annexure- I of Enlistment documents, we hereby confirm that we have executed following works of concrete roads using Pavement Quality Concrete (PQC) as per standard technical specification laid down in IRC/MORTH/CPWD/R&B (of any State/Union Territories) in

- i) Single (01) order, with executed quantity of Pavement Quality Concrete (PQC) not less than 5700 Cu.M.

OR

- ii) Two (02) orders, with executed quantity of Pavement Quality Concrete (PQC) not less than 3550 Cu.M. in each work

OR

- iii) Three (03) orders with executed quantity of Pavement Quality Concrete (PQC) not less than 2850 Cu.M. in each work.

during the **preceding Seven (07) years prior to the date of submission of application for enlistment.**

(We have enclosed copy of Work order/LOA and Certificate from Owners / Clients in support of Works executed)

Description		Reference Work-1	Reference Work-2	Reference Work-3
Name of contract				
Name and Address of Client				
Ref. No. and date of Award of Work				
Scope of Work				
Date of completion of works	As per Contract			
	Actual			
Executed quantity of Pavement Quality Concrete (PQC) in works of concrete roads as per standard technical specification laid down in IRC/MORTH/CPWD/R&B (of any State/Union Territories)				
Remarks				

We further confirm that notwithstanding anything stated above, the Employer reserves the right to undertake a physical assessment of the capacity and capabilities including financial capacity and capability of the Bidder / his Collaborator(s) / Associate(s) / Subsidiary(ies) / Group Company(ies) to perform the Contract, should the circumstances warrant such assessment in the overall interest of the Employer.

- Note:
- (1) Continuation Sheets of like size and format may be used as per Bidder Requirement and shall be annexed to this attachment.
 - (2) The reference works whose details have been declared as per the specified format in this Schedule A5 above shall only be considered to ascertain the bidder's compliance to Qualifying Requirement (QR). **Bidders are not permitted to quote more than three (03) times the numbers of works specified in Eligibility Criteria for this purpose.**

The Employer at its discretion may seek clarification and / or documentary evidence only for the reference plants / installations as mentioned above. However, **no change or substitution of the reference works by new / additional work for conforming to the specified Eligibility Criteria shall be sought, offered or permitted.**
 - (3) Bidder to ensure that certificate issued by the client covers / includes all parameters of QR. Further, the Bidder is required to submit the copy of last paid bill.

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**AVERAGE ANNUAL TURNOVER FOR MEETING THE REQUIREMENT OF
CLAUSE 2(a) and 2(c) OF QUALIFYING REQUIREMENTS**

a) In support of meeting the qualifying requirements stipulated at para 2 (a) of qualifying requirements stipulated at Annexure-I of Enlistment document, we confirm that our average annual turnover during the preceding three financial years as on notified last date of receipt of application is not less than ₹ 475 Lakh (Indian Rupees Four hundred Seventy five Lakh only) for Category-1 and ₹ 1176 Lakh (Indian Rupees One thousand one hundred seventy six Lakh only) for Category-2, respectively. In support of above, we are enclosing Audited Financial Statements.

Sl.No	Financial Year	Amount in Rs.
1.	2018-19	
2.	2019-20	
3.	2020-21*	
4.	Average Annual Turnover for the preceding three (3) Financial Years as on notified last date of Receipt of Application.	
5.	We have enclosed Audited financial statements for the last 3 financial years at	Annexure ref
6.	<p>Since we are not able to furnish our audited financial statements, on stand alone entity basis, we are submitting the following documents for substantiation of our Qualification :</p> <p>(a) Copies of unaudited unconsolidated financial statements of the bidder alongwith copies of the audited consolidated financial statements of our Holding Company for the last 3 years enclosed at Annexure to this Schedule B</p> <p>(b) Certificate from the CEO/CFO of the Holding company stating that the unaudited unconsolidated financial statements form part of the consolidated Financial Statements of the Holding Company, is enclosed as per the format at Appendix-A to this Schedule-B</p>	Annexure Ref
7.	<p>Audited results for the last financial year as on the notified last date of receipt of application enclosed</p> <p>In case not enclosed, financial results certified by a practicing chartered accountant enclosed</p>	<p>YES <input type="checkbox"/>*/NO* <input type="checkbox"/></p> <p>YES <input type="checkbox"/>*/NO* <input type="checkbox"/></p> <p>Annexure Ref</p>

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<p>In case financial results certified by a practicing chartered accountant not enclosed, then Audited financial statements for FY 2015-16 also enclosed.</p> <p>Further, a Certificate from the CEO/CFO of the Bidder stating that the Financial results of company are under audit as on the notified last date of receipt of Applications and the certificate from the practicing chartered accountant certifying the financial parameters is not available is enclosed Annexure as per the format at Appendix-C to this Schedule-B.</p>	YES <input type="checkbox"/> */NO* <input type="checkbox"/> Annexure Ref
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b) Since we do not satisfy the Financial Criteria stipulated at Clause No. 2(a) of qualifying requirements stipulated at Annexure-I of Enlistment document, on our Own, we give below the following details of our **Holding Company**.

- | Sl. No | Description | As on last day of the preceding financial year |
|---------------|---|---|
| 1. | Name and Address of the Holding Company: | |
| 2. | Turnover of the Holding Company with following details: | |

Sl.No	Financial Year	Amount in INR
a)	2018-19	
b)	2019-20	
c)	2020-21*	
d)	Average Annual Turnover for the preceding three (3) Financial Years as on notified last date of receipt of application.	
e)	We have enclosed audited Financial Statements for the preceding three (3) Financial years	Annexure ref

3. Paid-up Share Capital of the Holding Company
4. Net Worth of the Holding Company

- | Sl. No | Description | As on last day of the preceding financial year |
|---------------|---|---|
| 5. | %age of Net worth to Paid-up Share Capital of the Holding Company | |
| 6. | A Letter of Undertaking from the Holding Company, supported by Board Resolution of the Holding Company, | |

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pledging unconditional and irrevocable financial support for execution of the contract by the applicant in case of award against project specific enquiry after enlistment is enclosed as per the format at Appendix-B to this Schedule-B. A power of attorney of the person signing on behalf of holding company is also enclosed at Annexure to this Schedule-B.

7. Documentary evidence like Annual Report/Audited financial statements together with relevant schedules for the preceding financial year/ certification of financial statements from a practicing Chartered Accountant etc. in respect of holding company in support of above is enclosed at Annexure to this Schedule-B.

8. Audited results for the last financial year as on the notified last date of receipt of application enclosed YES */NO*
- In case not enclosed, financial results certified by a practicing chartered accountant enclosed YES */NO*
Annexure Ref
YES */NO*
Annexure Ref
- In case financial results certified by a practicing chartered accountant not enclosed, then Audited financial statements for FY 2020-2021 also enclosed.

Further, a Certificate from the CEO/CFO of the Holding Company stating that the Financial results of company are under audit as on the notified last date of receipt of application and the certificate from the practicing chartered accountant certifying the financial parameters is not available, is enclosed Annexure as per the format at Appendix-C to this Schedule-B.

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**NET WORTH TO PAID UP SHARE CAPITAL CRITERIA FOR MEETING THE
REQUIREMENT OF CLAUSE 2(b) and 2(c) OF QUALIFYING REQUIREMENTS**

To satisfy the requirements specified para 2 (b) of qualifying requirements stipulated at Annexure-I of Enlistment document, We give below the following details:

We hereby confirm that net worth of our company as on the last day of the preceding financial year is not less than 100% of its paid-up share capital. The Details are as under:

Sl. No	Description	As on last day of the preceding financial year
1.	Paid-up Share Capital	
2.	Net Worth	
3.	%age of Net worth to Paid-up Share Capital	
4.	Documentary evidence like Audited financial statements for the preceding financial year* in support of above is enclosed at Annexure to this Attachment-3.	
5.	<p>Since we are not able to furnish our audited financial statements, on stand alone entity basis, we are submitting the following documents for substantiation of our Qualification :</p> <p>(a) Copies of unaudited unconsolidated financial statements of the bidder alongwith copies of the audited consolidated financial statements of our Holding Company for the last 3 years enclosed at Annexure to this Schedule-B.</p> <p>(b) Certificate from the CEO/CFO of the Holding company stating that the unaudited unconsolidated financial statements form part of the consolidated Financial Statements of the Holding Company, is enclosed as per the format at Appendix-A to this Schedule-B.</p>	Annexure Ref

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SI. No	Description	As on last day of the preceding financial year
6.	<p>Audited results for the last financial year as on notified last date of receipt of application enclosed</p> <p>In case not enclosed, financial results certified by a practicing chartered accountant enclosed</p> <p>In case financial results certified by a practicing chartered accountant not enclosed, then Audited financial statements for FY 2020-2021 also enclosed.</p> <p>Further, a Certificate from the CEO/CFO of the Bidder stating that the Financial results of company are under audit as on the notified last date of receipt of application and the certificate from the practicing chartered accountant certifying the financial is not available, is enclosed Annexure as per the format at Appendix-C to this Schedule-B.</p>	<p>YES <input type="checkbox"/>*/NO* <input type="checkbox"/></p> <p>YES <input type="checkbox"/>*/NO* <input type="checkbox"/> Annexure Ref</p> <p>YES <input type="checkbox"/>*/NO* <input type="checkbox"/> Annexure Ref</p>

b) Since we do not satisfy the Financial Criteria stipulated at Clause No. 2(b) of Bid Data Sheet, Section-III, on our Own, we give below the following details of our **Holding Company** :

- Name and Address of the Holding Company:

SI. No	Description	As on last day of the preceding financial year
1.	Paid-up Share Capital of the Holding Company	
2.	Net Worth of the Holding Company	
3.	%age of Net worth to Paid-up Share Capital of the Holding Company	
4.	We have enclosed audited Financial Statements for the preceding three (3) Financial years	
5.	<p>Audited results for the last financial year as on the notified last date of receipt of application enclosed</p> <p>In case not enclosed, financial results certified by a practicing chartered accountant enclosed</p> <p>In case financial results certified by a practicing chartered accountant not enclosed, then Audited financial statements for FY 2020-2021 also enclosed. Further, a Certificate from the CEO/CFO of the Holding Company stating that the Financial results of company are under audit as on the notified last date of receipt of applications and the certificate from the practicing chartered accountant certifying the financial is not available, is enclosed Annexure as per the format at Appendix-C to this Schedule-B.</p>	<p>YES <input type="checkbox"/>*/NO* <input type="checkbox"/></p> <p>YES <input type="checkbox"/>*/NO* <input type="checkbox"/> Annexure Ref</p> <p>YES <input type="checkbox"/>*/NO* <input type="checkbox"/> Annexure Ref</p>

We further confirm that notwithstanding anything stated above, the Employer reserves the right to verify any information/documents furnished by the Bidder and also to carry

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out assessment of the capabilities and capacity of the bidder/his collaborators / associates / subsidiaries / group companies to perform the contract, should the circumstances warrant such assessment in the overall interest of the Employer in line with Qualifying Requirement.

- (i) Net worth means the sum total of the paid up share capital and free reserve. Free reserve means all reserves credited out of the profits and share premium account but does not include reserves credited out of the revaluation of the assets, write back of depreciation provision and amalgamation. Further any debit balance of Profit and Loss account and miscellaneous expenses to the extent not adjusted or written off, if any, shall be reduced from reserves and surplus.**
- (ii) Other income shall not be considered for arriving at annual turnover**
- (iii) "Holding Company" and "Subsidiary Company" shall have the meaning ascribed to them as per Companies Act of India.

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APPENDIX-A

**PROFORMA OF CERTIFICATE FROM THE CEO/CFO OF THE HOLDING
COMPANY IN ACCORDANCE WITH PARA NO. 2(C)(II) OF QUALIFYING
REQUIREMENTS STIPULATED AT ANNEXURE-I OF ENLISTMENT DOCUMENT**

(To be submitted online by Applicant along with the Application)

Ref. :

Date :

To
NTPC Ltd.,
Engineering Office Complex,
Plot A-8A, Sector 24, Noida-201301,
Distt.Gautam Budh Nagar,
State of U.P., India

Dear Sirs,

- 1.0** I, Mr. (CEO of the Company / CFO of the Company)* declare that M/s. (Name of the Holding Company) is the Holding Company of M/s. (Name of the Bidder).
- 2.0** I hereby confirm and undertake that the unaudited unconsolidated financial statements submitted in respect of the bidder as part of the bid reference no. datedhave been considered for the purposes of the finalisation of Consolidated Financial Statements of the Holding Company as part of the Annual Reports.
- 3.0** I further, certify that the figures in the unaudited unconsolidated financial statements are true and correct and same have been duly reflected in the audited consolidated financial statements and / or Annual Report of the Holding Company.

Yours faithfully

(Signature)

Date : (Name & Designation).....

Place : (Name of the Holding Company)

(Seal of Holding Company)

Note : *Strike off whichever is not applicable.

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APPENDIX-B

**PROFORMA OF LETTER OF UNDERTAKING
(TO BE FURNISHED ON NON-JUDICIAL STAMP PAPER OF APPROPRIATE VALUE)**

(To be executed by the Holding Company Supported by Board Resolution and submitted by the Bidder along with the application, Applicable to the Bidder for meeting the stipulated Financial Qualifying Requirement as per Para No. 2(a) stipulated at Annexure-I of Enlistment document)

Ref. :

Date :

To
NTPC Ltd.,
Engineering Office Complex,
Plot A-8A, Sector 24, Noida-201301,
Distt.Gautam Budh Nagar,
State of U.P., India

Dear Sirs,

1.0 We, M/s..... declare that we are the holding company of M/s (Name of the Applicant) and have controlling interest therein.

M/s. (Name of the Applicant) proposes to submit the bid for the package (Name of the package) for (Name of the Project) under bid reference no. dated and have sought financial strength and support from us for meeting the stipulated Financial Qualifying Requirement as per Clause 2(a) of qualifying requirements stipulated at Annexure-I of Enlistment document.

2.0 We hereby undertake that we hereby pledge our unconditional & irrevocable financial support to M/s. (Name of the Applicant), for the execution of Contract, in case they are awarded against project specific enquiry after enlistment. We further agree that this undertaking shall be without prejudice to the various liabilities that M/s (Name of Applicant) would be required to undertake in terms of the Contract including the Performance Security as well as other obligations of the Bidder/Contractor.

3.0 This undertaking is irrevocable and unconditional, and shall remain in force till the successful execution and performance of the entire contract and/or till it is discharged by Employer.

4.0 We are herewith enclosing a copy of the Board Resolution in support of this undertaking.

**Enlistment of Contractors for Construction of Geopolymer Concrete Road
at NTPC Projects/Stations**

Yours faithfully

(Signature of Authorised Signatory
on behalf of the Holding Company)

Witness :

(1) (Name & Designation).....
(Name of the Treasury Centre).....
(2) (Seal of the Treasury Centre).....

Date:

Place:

**Enlistment of Contractors for Construction of Geopolymer Concrete Road
at NTPC Projects/Stations**

APPENDIX-C

**PROFORMA OF CERTIFICATE FROM THE CEO/CFO OF THE COMPANY IN
ACCORDANCE WITH ITEM NO. 2(C) OF QUALIFYING REQUIREMENTS STIPULATED
AT ANNEXURE-I OF ENLISTMENT DOCUMENT**

(To be submitted online by Applicant along with the Application)

Ref. :

Date :

To
NTPC Ltd.,
Engineering Office Complex,
Plot A-8A, Sector 24, Noida-201301,
Distt.Gautam Budh Nagar,
State of U.P., India

Dear Sirs,

1.0 I, Mr. (CEO of the Company / CFO of the Company)* confirm and undertake that the Financial results of the company for the preceding financial year to be submitted in respect of the bidder as part of the bid reference no. dated are under audit as on the notified last date of receipt of application for “Enlistment of Contractors, for Construction of Geopolymer Concrete Road at NTPC Projects/Stations” and the certificate from the practicing chartered accountant certifying the financial parameters for the preceding financial year is not available.

Yours faithfully

(Signature)

Date : (Name & Designation).....

Place : (Name of the Company)

(Seal of Company)

Note : *Strike off whichever is not applicable.

Additional Information

- 1) We have read the contents of the Banning Policy of NTPC attached with this Application Document and agree to abide by this policy. Further, in terms of requirement under Banning policy we hereby declare the following:
 - a) We have not been Banned/Blacklisted as on date of submission of application for subject enlistment by Ministry of Power or Government of India.
 - b) We have not employed any public servant dismissed/removed or person convicted for an offence involving corruption or abetment of such offences.
 - c) Our Director(s)/Owner(s)/Proprietor/Partner(s) have not been convicted by any court of law for offences involving corrupt and fraudulent practices including moral turpitude in relation to business dealings with Government of India or NTPC or NTPC's group companies during the last five years.

- 2) We further declare as under:

that if at any point subsequent to Enlistment, the declarations given above are found to be incorrect, NTPC Limited shall have the full right to terminate the Enlistment and take any action as per applicable laws.